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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

) Date: September 16, 2004

James S. Bratsanos, et al.

) Attorney Docket No.: E-989

Serial No.: 09/541,001

) Customer No.: 00919

Filed: March 31, 2000

) Group Art Unit: 2624

Confirmation No.: 1962

) Examiner: Thierry L. Pham

**For: METHOD AND SYSTEM FOR MODIFYING PRINT STREAM DATA TO
ALLOW PRINTING OVER A SINGLE I/O PORT**

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the

statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☐ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☒ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☒ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

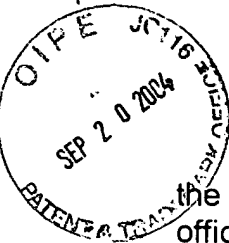
3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in



the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified). A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**. A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,

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Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

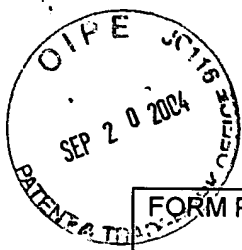
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Alexandria, VA 22313-1450

16
On September 15, 2004
Date of Deposit

Esther A. Lapin
Name of Rep.

Signature

16
September 15, 2004
Date



FORM PTO-1449 (Modified)		Atty. Docket Number E-989	Serial No. 09/541,001
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant James S. Bratsanos, et al.	
		Filing Date March 31, 2000	Group 2624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,858,907	8/1989	Eisner, L., et al.			
	AB	4,875,174	10/1989	Olodort, R., et al.			
	AC	4,915,287	4/1990	Volk, S., et al.			
	AD	5,007,663	4/1991	Moran, J.			
	AE	5,146,439	9/1992	Jackmann, E., et al.			
	AF	5,175,691	12/1992	Baker, W., et al.			
	AG	5,278,947	1/1994	Balga, J., et al.			
	AH	5,319,562	6/1994	Whitehouse, H.			
	AI	5,326,181	7/1994	Eisner, L., et al.			
	AJ	5,387,783	2/1995	Mihm, T., et al.			
	AK	5,400,243	3/1995	Oheda, S., et al.			
	AL	5,448,685	9/1995	Ogura, W., et al.			
	AM	5,495,581	2/1996	Tsai, I.			
	AN	5,546,577	8/1996	Marlin, J., et al.			
	AO	5,579,449	11/1996	Strobel, K.			
	AP	5,583,970	12/1996	Strobel, K.			
	AQ	5,606,609	2/1997	Houser, P., et al.			
	AR	5,621,864	4/1997	Benade, D., et al.			
	AS	5,652,884	7/1997	Palevich, J.			
	AT	5,657,431	8/1997	Plakosh, D., et al.			
	AU	5,668,934	9/1997	Maw, D.			
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	AW	5,668,990	9/1997	Bajorinas, A., et al.			
	AX	5,680,615	10/1997	Marlin, J., et al.			
	AY	5,681,468	10/1997	Sawan, S., et al.			
	AZ	5,682,468	10/1997	Fortenbery, M., et al.			
	BA	5,684,706	11/1997	Harman, J., et al.			
	BB	5,684,934	11/1997	Chen, W., et al.			
	BC	5,689,703	11/1997	Atkinson, R., et al.			
	BD	5,694,563	12/1997	Belfiore, J., et al.			
	BE	5,696,914	12/1997	Nahaboo, C., et al.			



U.S. PATENT DOCUMENTS - continued...

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BF	5,706,458	1/1998	Koppolu, S.			
	BG	5,710,900	1/1998	Anand, T., et al.			
	BH	5,715,371	2/1998	Ahamed, S., et al.			
	BI	5,717,597	2/1998	Kara, S.			
	BJ	5,719,776	2/1998	Haug, W.			
	BK	5,869,824	2/1999	Okada, N., et al.			
	BL	6,030,132	2/2000	Harman, J., et al.			

FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	BM	DE 9219115U1	1998	Germany			
	BN	EP 0 496 575 A2	1992	European			
	BO	EP 0 780 803 A2		European			
	BP	WO 97/141117	1997	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	BQ	No author, "Escher Group Announces Universal Postal Client - Product to Replace Postage Meter Hardware with Internet Software Solution"; 10/1997; PR Newswire, p1006NEM049; DialogWeb copy pages 1-3.					
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.